

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

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In re : Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (JMP)
Debtors. : (Jointly Administered)
-----x Ref. Docket Nos. 29213, 29214,
29270, 29274, 29333-29340, 29347,
29349

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On July 13, 2012, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this
25th day of July, 2012

/s/ Cassandra Murray

Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CITIGROUP FINANCIAL PRODUCTS, INC.
TRANSFEROR: DZ BANK AG DEUTSCHE ZENTRAL-GENOSSENSCHAFTSBANK
ATTN: ROHIT BANSAL
390 GREENWICH STREET, 4TH FLOOR
NEW YORK NY 10013

CITIGROUP FINANCIAL PRODUCTS, INC.
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP
ATTN: DOUGLAS R. DAVIS
1285 AVENUE OF THE AMERICAS
NEW YORK NY 10019

Please note that your claim # 17423-05 in the above referenced case and in the amount of
\$36,562,517.77 allowed at \$25,000,000.00 has been transferred (unless previously expunged by court order)

EMPYREAN INVESTMENTS, LLC
TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC.
STERLING HATHAWAY
EMPYREAN CAPITAL PARTNERS, LP
10250 CONSTELLATION BLVD., STE 2950
LOS ANGELES CA 90067

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 29270 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 07/13/2012

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on July 13, 2012.

EXHIBIT B

Name BANCA MONTE DEI PASCHI DI SIENA S.p.A BANCA POPOLARE DI MILANO S.C.A.R.L. CAPITAL PARTNERS SECURITIES CO., LTD CHASE LINCOLN FIRST COMMERCIAL CHASE LINCOLN FIRST COMMERCIAL CHASE LINCOLN FIRST COMMERCIAL CHASE LINCOLN FIRST COMMERCIAL CITIGROUP FINANCIAL PRODUCTS, INC. CITIGROUP FINANCIAL PRODUCTS, INC. EMPYREAN INVESTMENTS, LLC EMPYREAN INVESTMENTS, LLC GOLDENTREE CREDIT OPPORTUNITIES FINANCING I, LTD GOLDENTREE CREDIT OPPORTUNITIES MASTER FUND LTD GOLDENTREE CREDIT OPPORTUNITIES MASTER FUND LTD GOLDENTREE MASTER FUND II, LTD. GOLDMAN SACHS LENDING PARTNERS LLC GROOT, N.M.H. JUFFERMANS, J. J. M. ROSEMULLER, H. M. B. STICHTING ROSENSTOCK HUESY STICHTING THE TAMEX VALUE FOUNDATION STICHTING THE LAMEX VALUE FOUNDATION STICHTING THE IAMEX VALUE FOUNDATION STICHTING THE IAMEX VALUE FOUNDATION STICHTING THE IAMEX VALUE FOUNDATION TH.M. THIEN E/O PAM THIEN-VANDER HEIJDEN UBS AG, LONDON BRANCH UBS AG, LONDON BRANCH W.J. HEYNEN BEHEER BV	Address ATTN: GITANLUCA SERRA PIAZZA SALIMBENTI, 3 SIENA 53100 ITALY TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.p.A. ATTN: MARIA TERESA GUERRA PIAZZA MEDA N. 4 MILANO 20121 ITALY TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: FUMIO KOJIMA ASAHI BUILDING, 6TH FLOOR 3-12-2 NIHONBASHI, CHUO-KU TOKYO 103-0027 JAPAN TRANSFEROR: GOLDENTREE CREDIT OPPORTUNITIES FINANCING I, LTD ATTN: JEFFREY L. PANZO MAIL CODE NY1-M138 383 MADISON AVENUE - FLOOR 37 NEW YORK NY 10179 TRANSFEROR: GOLDENTREE CREDIT OPPORTUNITIES MASTER FUND LTD ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE - FLOOR 37 NEW YORK NY 10179 TRANSFEROR: GOLDENTREE MASTER FUND II, LTD. ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE - FLOOR 37 NEW YORK NY 10179 PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019 TRANSFEROR: DZ BANK AG DEUTSCHE ZENTRAL-GENOSSENSCHAFTSBANK ATTN: ROHIT BANSAL 390 GREENWICH STREET, 4TH FLOOR NEW YORK NY 10013 TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. STERLING HATHAWAY EMPYREAN CAPITAL PARTNERS, LP 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067 TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC STERLING HATHAWAY EMPYREAN CAPITAL PARTNERS, LP 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067 TRANSFEROR: GOLDENTREE LEVERAGE LOAN MASTER FUND LTD C/O GOLDENTREE ASSET MANAGEMENT, LP ATTN: MAREK BROZYNA 300 PARK AVENUE, 21ST FLOOR NEW YORK NY 10022 BROWN RUDNICK LLP ATTN: TIMOTHY C. BENNETT, ESQ. SEVEN TIMES SQUARE NEW YORK NY 10036 C/O GOLDENTREE ASSET MANAGEMENT LP ATTN: KAREN WEBER 300 PARK AVENUE 21ST FLOOR NEW YORK NY 10022 TRANSFEROR: GTAM FUND I, LTD C/O GOLDENTREE ASSET MANAGEMENT, LP ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302 CORM. BOLDINGSTRAAT 22 VOLENDAM 1132 VE NETHERLANDS HERMELIJNVLINDER 48 LEIDEN 2317 KD NETHERLANDS AH TER HORSTLAAN 6 RYSEN 9461 PC NETHERLANDS T.A.V. D. KOERS WILHELMINAPARK 28/29 HAARLEM 2012 KC NETHERLANDS TRANSFEROR: GROOT, N.M.H. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS TRANSFEROR: JUFFERMANS, J. J. M. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS TRANSFEROR: ROSEMULLER, H. M. B. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS TRANSFEROR: STICHTING ROSENSTOCK HUESY ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS TRANSFEROR: TH. M. THIEN E/O PAM THIEN-VANDER HEIJDEN ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS TRANSFEROR: W.J. HEYNEN BEHEER BV ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS CHURCHILL - LAAN 100 'S-HERTOGENBOSCH 5224 BX NETHERLANDS LONDON EC2M 2PP UNITED KINGDOM TRANSFEROR: ZURICH LIFE INSURANCE ITALIA S.p.A. ATTN: SHARON CANHAM ONE FINSBURY AVENUE LONDON EC2M 2PP UNITED KINGDOM JULIANALAAN 68 KAAG 2159 LD NETHERLANDS C/O PAOLO PENCO ZURICH ITALIA VIA B. CRESPI, 23 MILANO 20159 ITALY SILVANA VALANZIO GENERAL COUNSEL ZURICH INSURANCE ITALY VIA B. CRESPI 23 MILAN ITALY MARINA I. ZELINSKY WILLKIE FARR & GALLAGHER LLP 787 SEVENTH AVENUE NEW YORK NY 10019 MARY LYN DENIRO, ESQ. ZURICH - NY LEGAL 105 EAST 17TH ST. NEW YORK NY 10003 ANDY SMART ZURICH LIFE INSURANCE COMPANY THE GRANGE BISHOP'S CLEEVE CHILTHENHAM - GLOS. GL52 8XX UNITED KINGDOM
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